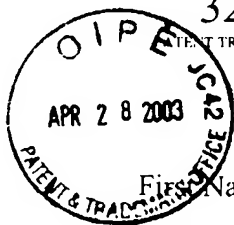




32692

PATENT &amp; TRADEMARK OFFICE

Patent  
Case No.: 54481US015

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
MAY 08 2003  
GROUP 1700

Filed: Named Inventor: CARPENTER, JAMES B.  
 Application No.: 10/028837 Group Art Unit: 1731  
 Filed: December 20, 2001 Examiner: Not Yet Known  
 Title: METHOD FOR THE SELECTIVE PHOTSENSITIZATION OF OPTICAL FIBER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
 Washington, DC 20231

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:	
April 22, 2003 Date	<i>Tom Sanders</i> Signed by: Tom Sanders

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449 citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

April 22, 2003  
 Date

By: *Alan Ball*  
 Alan Ball, Reg. No.: 42,286  
 Telephone No.: (512) 984-5258

Office of Intellectual Property Counsel  
 3M Innovative Properties Company  
 Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets if necessary)

Page 1 of 1

Application Number

10/028837

Filing Date

December 20, 2001

First Named Inventor

Carpenter, James B.

Art Unit

1731

Examiner Name

Not Yet Known

Attorney Case Number

54481US015

## U.S. Patent Documents

Exam Init. *	Cite No	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
	A1	US- 5,706,375	01-06-1998	Mihailov et al	
	A2	US- 5,930,420	07-27-1999	Atkins et al	
	A3	US- 6,240,224 B1	05-29-2001	Reekie et al	
	A4	US- 6,311,524 B1	11-06-2001	Brennan, III et al	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

## Foreign Patent Documents

Exam Init.*	Cite No	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Clty. Code	Number-KindCode (If known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam Init.*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	
	C2	
	C3	

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>54481W0017</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/US 02/ 34356</b>	International filing date (day/month/year) <b>25/10/2002</b>	(Earliest) Priority Date (day/month/year) <b>20/12/2001</b>
Applicant <b>3M INNOVATIVE PROPERTIES COMPANY</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.



It is also accompanied by a copy of each prior art document cited in this report.

### 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.



the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :



contained in the international application in written form.



filed together with the international application in computer readable form.



furnished subsequently to this Authority in written form.



furnished subsequently to this Authority in computer readable form.



the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.



the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,



the text is approved as submitted by the applicant.



the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,



the text is approved as submitted by the applicant.



the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.



as suggested by the applicant.



because the applicant failed to suggest a figure.



because this figure better characterizes the invention.

7



None of the figures.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/34356

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G02B6/38 G02B6/16 C03C25/62

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G02B C03C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC, COMPENDEX, IBM-TDB

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 146 713 A (CULLEN THOMAS J ET AL) 14 November 2000 (2000-11-14)	1-4, 7-16, 19-24
Y	the whole document	5,6,17, 18
X	US 5 930 420 A (ATKINS ROBERT MICHAEL ET AL) 27 July 1999 (1999-07-27) the whole document	1-4,11, 12,18
Y	US 5 706 375 A (DUCK GARY S ET AL) 6 January 1998 (1998-01-06) column 3, line 51 -column 4, line 65; figure 1	5,6
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*I\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

27 March 2003

Date of mailing of the international search report

10/04/2003

Name and mailing address of the ISA

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Authorized officer

Wolf, S

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 02/34356

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
Y	US 6 311 524 B1 (BRENNAN III JAMES F ET AL) 6 November 2001 (2001-11-06) cited in the application column 1, line 1 -column 3, line 25; figures 1-6,9-11 column 7, line 46 -column 11, line 67; claims 12-26 ---	17,18
A	US 6 240 224 B1 (REEKIE LAURENCE ET AL) 29 May 2001 (2001-05-29) column 3, line 46 -column 5, line 4; figures 1-4 ---	1,2,11, 12
A	LEMAIRE P J: "ENHANCED UV PHOTOSENSITIVITY IN FIBERS AND WAVEGUIDES BY HIGH- PRESSURE HYDROGEN LOADING" OPTICAL FIBER COMMUNICATION. SUMMARIES OF PAPERS PRESENTED AT THE CONFERENCE OFC '95. SAN DIEGO, FEB. 26 - MAR. 3, 1995, NEW YORK, IEEE, US, 1995, pages 162-163, XP000669782 ISBN: 0-7803-2654-7 the whole document -----	1,11

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 02/34356

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 6146713	A	14-11-2000	AU 3772400 A	09-10-2000
			EP 1163546 A1	19-12-2001
			WO 0057225 A1	28-09-2000
			US 6327406 B1	04-12-2001
			US 6238729 B1	29-05-2001
			US 6238485 B1	29-05-2001
			US 2002018623 A1	14-02-2002
US 5930420	A	27-07-1999	NONE	
US 5706375	A	06-01-1998	CA 2214870 A1	10-03-1998
US 6311524	B1	06-11-2001	AU 3434701 A	30-01-2002
			WO 0206875 A1	24-01-2002
US 6240224	B1	29-05-2001	NONE	